

PTO  
JC535 U.S.  
09/19/97

Attorney Docket P1130  
PATENT

**CERTIFICATION UNDER 37 CFR 1.10**

TB 835 479 150 US: Express Mail Number

September 19, 1997: Date of Deposit

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

*Aida A. Miclat*

Aida A. Miclat

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**BOX PATENT APPLICATION**  
Assistant Commissioner of Patents  
Washington, D.C. 20231

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): **Paul J. Godowski**  
**Austin L. Gurney**

For: **TIE LIGANDS**

**1. Type of Application**

This new application is for a(n) x original    continuation-in-part application.

**2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)(Regular)**

89 pages of specification  
3 pages of claims  
1 page(s) of abstract  
25 sheet(s) of drawings  
[x] formal  informal

**3. Declaration or Oath**

X The declaration of the inventor(s) [x] is enclosed  unsigned  will follow.

**4. Assignment**

X An Assignment of the invention to GENENTECH, INC. [x] is enclosed with attached Recordation Form Cover Sheet  will follow.

**5. Fee Calculation (37 CFR 1.16)**

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$770.00
Total Claims	25	- 20 =	5	X \$22.00	\$110.00
Independent Claims	2	- 3 =	0	X \$80.00	\$0.00
<u>x</u>	Multiple dependent claim(s), if any			+ \$260.00	\$260.00
Filing Fee Calculation					\$1140.00

**6. Method of Payment of Fees**

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$1,140.00. A duplicate copy of this transmittal is enclosed.

**7. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. A duplicate copy of this sheet is enclosed.

**8. Additional Papers Enclosed**

- Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- Other:

Respectfully submitted,  
GENENTECH, INC.

Date: September 19, 1997  
By:   
Ginger R. Dreger  
Reg. No. 33,055

1 DNA Way  
So. San Francisco, CA 94080-4990  
Phone: (650) 225-3216  
Fax: (650) 952-9881

70204-93821  
 09/19/97  
 PTO  


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

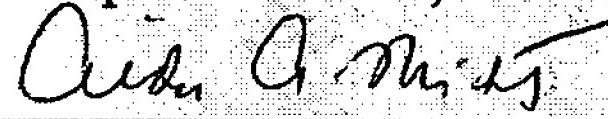
In re Application of  
 Godowski and Gurney  
 Serial No.: To be Assigned  
 Filed: September 19 1997  
 For: TIE LIGANDS

Group Art Unit: Not Known

Examiner: Not Known

**CERTIFICATE OF MAILING**  
 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

September 19, 1997



Aida A. Miclat

**CERTIFICATE RE: SEQUENCE LISTING**

BOX SEQUENCE  
 Assistant Commissioner of Patents  
 Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same. I further state that this submission includes no new matter.

Respectfully submitted,  
 GENENTECH, INC.

Date: September 19, 1997

By:   
 Ginger R. Dreger  
 Reg. No. 33,055

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